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Total Number of Pages in This Submission

Application Number	09/884,303
Filing Date	JUNE 19, 2001 11
First Named Inventor	GARRY D. GLADSTONE
Art Unit	3694
Examiner Name	ELLA COLBERT
Attorney Docket Number	GLADS-001A

ENCLOSURES (Check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Stetina Brunda Garred & Brucker		
Signature	<i>Eric L. Tanezaki</i>		
Printed name	Eric L. Tanezaki		
Date	1/05/2007	Reg. No.	40,196

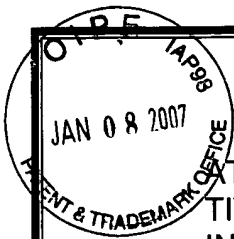
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ATTORNEY DOCKET NO: GLADS-001A
TITLE: METHOD AND SYSTEM FOR AUTOMATED TRADING OF FINANCIAL INSTRUMENTS

Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

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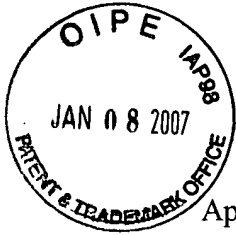
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3. Response to Office Action (16 Pages); and
4. One (1) Return Postcard

Application No.: 09/884,303
Response to Office Action of November 02, 2006
Attorney Docket: GLADS-001A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Garry D. Gladstone)	Confirmation No.	4813
)		
Serial No.:	09/884,303)	Art Unit:	3694
)		
Filed:	June 19, 2001)	Examiner:	Ella Colbert
)		
For:	METHOD AND SYSTEM FOR)		
	AUTOMATED TRADING OF)		
	FINANCIAL INSTRUMENTS)		

RESPONSE TO OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of November 02, 2006, please amend the above-identified application as follows: